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APR 1 200 AV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

-Gilles H. TAPOLSKY and David W. OSBORNE

Serial No.:

09/069,703

Filing Date:

April 29, 1998

For:

PHARMACEUTICAL CARRIER

DEVICE SUITABLE FOR DELIVERY

OF PHARMACEUTICAL COMPOUNDS TO MUCOSAL

SURFACES

Examiner: E. Webman

Group Art Unit: 1617

AMENDMENT UNDER 37 C.F.R. § 1.111

BOX NON-FEE AMENDMENT Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This is in response to the second Office Action dated January 8, 2001 (Paper No. 16), for which a response is due on April 8, 2001. In that Office Action, claim 16 was rejected under 35 U.S.C. § 101, claims 1-10, 12, 13, 15-18, and 33 were rejected under 35 U.S.C. § 112, first and second paragraphs, claims 1, 5, 6, 9, 11, 15, 17, 18, and 33 were rejected under 35 U.S.C. § 102(e) and 35 U.S.C. § 102(b). Applicants respectfully request reconsideration of the above-referenced application in view of the following amendments and remarks.